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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/068,087	02/06/2002	Feniosky Pena-Mora	MIT-087PUS	7377

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DALY, CROWLEY, MOFFORD & DURKEE, LLP  
SUITE 301A  
354A TURNPIKE STREET  
CANTON, MA 02021-2714

EXAMINER
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STEVENS, THOMAS H

ART UNIT	PAPER NUMBER
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2123

DATE MAILED: 07/03/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/068,087	<b>Applicant(s)</b> PENA-MORA ET AL.	
	<b>Examiner</b> Thomas H. Stevens	<b>Art Unit</b> 2123	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 06 February 2002.
- 2a) ☐ This action is FINAL.
- 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-18 is/are pending in the application.
  - 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) 1-18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 06 February 2002 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
  - Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
  - Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
    - a) ☐ All    b) ☐ Some \*    c) ☐ None of:
      - 1. ☐ Certified copies of the priority documents have been received.
      - 2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
      - 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 03/29/2002.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

### **DETAILED ACTION**

1. Claims 1-18 were examined.

#### ***Information Disclosure Statement***

2. The information disclosure statement (IDS) resubmitted on 03/29/2002 was acknowledged and considered by the Office.

#### ***Specification***

3. The specification is objected to due to the following issues:
  - a missing application number on page 12, line 28.
  - Page 13, line 8, typographical error: "104and."
  - Page 19, line 24, typographical error: "162b(i.e.)"

#### ***Drawings***

4. The drawings are objected to because elements 172, 176 are missing in the specification. Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date

Art Unit: 2123

of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

### ***Claim Objections***

5. The examiner has provided a number of examples of claim deficiencies, which may not be inclusive:

- Claim 17 is objected to by the limitation of "that" which is unclear within context of the claim.
- Claim 18 is objected to by having two periods at the end of the sentence.

### ***Claim Interpretation***

6. Office personnel are to give claims their "**broadest reasonable interpretation**" in light of the supporting disclosure. In re Morris, 127 F.3d 1048, 1054-55, 44 USPQ2d 1023, 1027-28 (Fed. Cir. 1997). Limitations appearing in the specification but not recited in the claim are not read into the claim. In re Prater, 415 F.2d 1393, 1404-05, 162 USPQ 541, 550-551 (CCPA 1969). See \*also In re Zletz, 893 F.2d 319, 321-22, 13 USPQ2d 1320, 1322 (Fed. Cir. 1989) ("During patent examination the pending claims must be interpreted as broadly as their terms reasonably allow") .... The reason is simply that during patent prosecution when claims can be amended, ambiguities should be recognized, scope and breadth of language explored, and clarification imposed .... An essential purpose of patent examination is to fashion claims that are precise, clear,

correct, and unambiguous. Only in this way can uncertainties of claim scope be removed, as much as possible, during the administrative process.

The Office extracts applicants' definition of buffer reliability denoted on page 4, lines 7-17; and the limitation of time precedence as the following: *"a relationship between two activities. For example if a first activity must finish before a second activity can start, the first and second activities are said to be in a "finish to start" time precedence relationship. Time precedence relationships include finish to start (FS), finish to finish (FF), start to finish (SF), and start to start (SS) relationships. For another example, when two activities are time related in an FS relationship with lead, a downstream activity can begin a lead period before the completion of an upstream activity to which it is related. This is contrasted with an FS relationship with lag for which the downstream activity is delayed to start with a lag delay after the completion of an upstream activity to which it is related."* (specification, page 2, lines 17-28). Same definition holds true for the limitation of convention project plan as depicted on page 7, lines 23-30. Furthermore these limitations are depicted in Pena-Mora et al., titled "Dynamic Planning and Control Methodology for Design/Build Fast-Track Construction Projects" Jan/Feb 2001 on page 15, table 1.

### ***Claim Rejections - 35 USC § 103***

7. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.

Art Unit: 2123

2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

8. Claims 1-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Parad (US Patent 5,369,570; hereafter Parad) in view of Pena-Mora et al., titled "Dynamic Planning and Control Methodology for Design/Build Fast-Track Construction Projects" Jan/Feb 2001 (hereafter Mora).

Art Unit: 2123

Parad teaches a method for continuous real-time management of multiple distributed resource engines to maintain precise schedules (abstract); but fails to teach upstream/downstream as well as reliability buffers.

Mora teaches a dynamic project planning and control methodology for integrating concurrent engineering concept graphically which include (abstract) upstream/downstream (table 1) as well as reliability buffers (pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22).

Parad and Mora are analogous art since both teach software-based resource management scheduling.

Therefore it would have been obvious to one having ordinary skill in the art at the time of invention was made to utilize the dynamic planning and control methodology of Mora in the automated resource scheduling platform of Parad since Mora teaches a method that creates an effective fast-tracking project plan to absorb potential changes without creating major interruptions to the project schedule (Mora: pg. 17, lines 5-7).

Claim 1. A reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method associated with a project planning model (Mora: abstract, line13) having project plan data and having a plurality of activities, wherein each or the plurality of activities (Mora: pg. 13,figure 12 "plurality of events") has one or more activity time (Pard: column 15, line16, 48-51) precedence relationships, comprising: adding activity characteristics (Mora: pg. 14, 6th paragraph,

Art Unit: 2123

line 10) data to the project plan data; generating a reliability buffer duration (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) value corresponding to the project plan data and placing a reliability buffer (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) in front of a downstream (Mora: pg. 15, table 1, categories) activity.

Claim 2. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 1, further comprising: adding activity relationship data to the project plan data.

Claim 3. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 1, further comprising: altering the one or more activity time (Pard: column 15, line16, 48-51) precedence relationships.

Claim 4. A reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method associated with a project planning model (Mora: abstract, line13)having project plan data, having a project schedule, and having a plurality of activities, comprising: selecting a downstream (Mora: pg. 15, table 1, categories) activity from among the plurality of activities; adding activity relationship data associated with the downstream (Mora: pg. 15, table 1, categories)



Art Unit: 2123

activity and with at least one upstream (Mora: pg. 15, table 1, categories) activity to the project plan data; adding activity characteristics (Mora: pg. 14, 6th paragraph, line 10) data associated with the downstream (Mora: pg. 15, table 1, categories) activity to the project plan data; and placing a reliability time buffer in a buffer time precedence (Pard: column 17, line 15-20) relationship with the downstream (Mora: pg. 15, table 1, categories) activity to provide a buffered downstream (Mora: pg. 15, table 1, categories) activity.

Claim 5. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 4, wherein adding activity relationship data comprises-.adding a downstream (Mora: pg. 15, table 1, categories) sensitivity value associated with the activity time (Pard: column 15, line16, 48-51) precedence relationship to the project plan data.

Claim 6. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 4, wherein adding activity characteristics (Mora: pg. 14, 6th paragraph, line 10) data comprises: adding an activity reliability value to the project plan data.

Claim 7. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 4, wherein adding

Art Unit: 2123

activity characteristics (Mora: pg. 14, 6th paragraph, line 10) data comprises: adding an activity production rate value to the project plan data.

Claim 8. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 4, wherein the buffer time precedence relationship is finish to start (Mora: pg. 8, right column lines 2-3).

Claim 9. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 4, further comprising: generating a reliability buffer duration (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) value associated with the reliability buffer and corresponding to the project plan data; and generating an activity time (Pard: column 15, line 16, 48-51) precedence relationship between the buffered downstream (Mora: pg. 15, table 1, categories) activity and the at least one upstream (Mora: pg. 15, table 1, categories) activity, corresponding to the project plan data, to provide an initial reliability buffer project plan.

Claim 10. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 9, wherein the activity time (Pard: column 15, line 16, 48-51) precedence relationship is selected from the group consisting of finish to start (Mora: pg. 8, right column lines 2-3), finish to finish, start to start, and start to finish.

Art Unit: 2123

Claim 11. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 9, wherein generating the reliability buffer duration (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) value comprises: selecting one or more upstream (Mora: pg. 15, table 1, categories) activities associated with the downstream (Mora: pg. 15, table 1, categories) activity from among the plurality of activities; and generating a reliability buffer duration (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) value that reduces a simulated schedule delay (Mora: pg. 3, left column, line 3) to the project schedule that occurs due to simulated schedule delay (Mora: pg. 3, left column, line 3)s (Mora: pg. 3, left column, line 3) of respective ones of the one or more upstream (Mora: pg. 15, table 1, categories) activities, and that increases a simulated schedule advance to the project schedule that occurs due to simulated schedule advances of respective ones of the one or more upstream (Mora: pg. 15, table 1, categories) activities.

Claim 12. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 11, wherein generating the reliability buffer duration (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) value comprises: selecting a plurality of reliability buffer duration (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) values; and for each of the plurality of reliability buffer duration (Mora: pg. 12, right column 5th paragraph, 2nd line with

Art Unit: 2123

pg.8. right column, 2nd paragraph, lines 19-22) values, generating a simulated project schedule and a simulated project cost; analyzing the simulated project schedules and the simulated project costs (Mora: pg. 3, left column, line 3) associated with the plurality of reliability buffer duration (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) values; and selecting the reliability buffer duration (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) value and the associated project schedule corresponding to a smallest simulated projected schedule or associated with a smallest simulated project cost (Mora: pg. 4, left column, 3<sup>rd</sup> paragraph, lines 7-8).

Claim 13. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 9, wherein generating the activity time precedence (Pard: column 17, line 15-20) relationship comprises: selecting a time precedence (Pard: column 17, line 15-20) relationship from the group consisting of a finish to start (Mora: pg. 8, right column lines 2-3) relationship, a finish to finish relationship, a start to finish relationship, and a finish to start (Mora: pg. 8, right column lines 2-3) relationship; selecting one or more upstream (Mora: pg. 15, table 1, categories) activities associated with the downstream (Mora: pg. 15, table 1, categories) activity from among the plurality of activities; and generating a reliability buffer lead or lag value that reduces a simulated schedule delay (Mora: pg. 3, left column, line 3) to the project schedule that occurs due to simulated schedule delays (Mora: pg. 3, left column, line 3) of respective ones of the one or more upstream (Mora:

Art Unit: 2123

pg. 15, table 1, categories) activities, and that increases a simulated schedule advance to the project schedule that occurs due to simulated schedule advances of respective ones of the one or more upstream (Mora: pg. 15, table 1, categories) activities.

Claim 14. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 9, further comprising: adding policy data to the project plan data.

Claim 15. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 14, wherein adding policy data comprises: adding at least one of: a manpower availability (well known within the business community) versus time value; a overtime (well known within the business community) and flexible headcount control value, a time buffer, a thoroughness of quality (Parad: column 4, line 67) control value; a hiring time (well known within the business community) control value, and a request for information (RF1) time duration (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) value to the project plan data.

Claim 16. The reliability buffering (Mora: pg. 12, right column 5th paragraph, 2nd line with pg.8. right column, 2nd paragraph, lines 19-22) method of claim 9, further comprising: updating the project plan data to provide an updated reliability buffer project plan.

Art Unit: 2123

9. Claims 17 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pena-Mora et al., titled "Dynamic Planning and Control Methodology for Design/Build Fast-Track Construction Projects" Jan/Feb 2001 (hereafter Mora 2) in view of Pena-Mora et al., "Component-based Software Development for Integrated Construction Management Software Applications" (hereafter Mora 1).

Mora 2 teaches a project management system (Mora 2, abstract, line 13 "project planning") but fails to teach integrated construction management software application for schedule processing, inventory management, and finance/accounting.

Mora 1 teaches in integrated construction management software application for schedule processing, inventory management, and finance/accounting.

Mora 1 and Mora 2 are analogous art since both teach construction planning.

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to utilize the project processor of Mora 1 in the dynamic planning of Mora 2 because Mora 1 teaches a method that carr[ies] out research in producing a design rationale model that filter[s] and integrates design rationale information from a wide range of sources across different ICM projects (Mora 1).

Claim 17. A project management system (Mora 2, abstract, line 13 "project planning") comprising: a project data processor (Mora 1: pg. 181, figure 7, "web server" plurality of processors) to provide project plan data (Mora 2: "GERT" as a project plan type as denoted in page 3, lines 5-12 within the specification); and a reliability buffer Mora 2: pg.

Art Unit: 2123

3, left column, 2<sup>nd</sup> paragraph, "reliability"; and pg. 8, lines 19-22) processor (Mora 1: pg. 181, figure 7, "web server" plurality of processors) adapted to receive that project plan data (Mora 2: "GERT" as a project plan type as denoted in page 3, lines 5-12 within the specification) and to generate a project plan with reliability buffers (Mora 2: pg. 3, left column, 2<sup>nd</sup> paragraph, "reliability"; and pg. 8, lines 19-22).

Claim 18. The project management system of claim 17 further including a project plan processor adapted to provide conventional project plan data to the project data processor, and wherein the project data processor (Mora 1: pg. 181, figure 7, "web server" plurality of processors) is adapted to receive the conventional project plan data (see claim interpretation) and to provide the project plan data (Mora 2: "GERT" as a project plan type as denoted in page 3, lines 5-12 within the specification).

***Citation to Relevant Prior Art***

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

- US Patent 6,289,340 teaches an automated workflow database for business managers.
- US Patent 6,122,633 teaches a workflow management system to model business processes and goals.

***Correspondence Information***

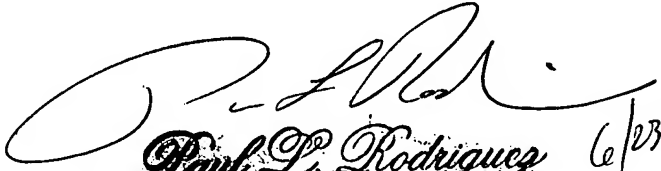
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mr. Tom Stevens whose telephone number is 571-272-3715, Monday-Friday (8:00 am- 4:30 pm EST).

If attempts to reach the examiner by telephone are unsuccessful, please contact examiner's supervisor Mr. Paul Rodriguez 571-272-3753. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>.. Answers to questions regarding access to the Private PAIR system, contact the Electronic Business Center (EBC) (toll-free (866-217-9197)).

May 27, 2006

TS

  
Paul L. Rodriguez 6/23/06  
Primary Examiner  
Art Unit 2123